



DOCKET NO.: S1022.81048US01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Yvon GRIS  
Serial No.: 10/781,329  
Confirmation No.: 9448  
Filed: February 18, 2004  
For: METHOD FOR FABRICATING AN NPN TRANSISTOR  
IN A BICMOS TECHNOLOGY

Examiner: Unassigned  
Art Unit: 2811

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 4<sup>th</sup> day of October, 2004.

*Eileen MacKenzie*  
Eileen MacKenzie

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**MAIL STOP MISSING PARTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case. No fee or certification is required.

**PART II: Information Cited**

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The examiner's attention is hereby drawn to the attached French Search Report. As shown in the patent family search attached to the search report, U.S. Patent No. 4,980,302 corresponds to document EP-A-0 372 476, cited in the French Search Report.

The Examiner's attention is also hereby drawn to the attached French Search Report issued in French patent application no. 96 14412, filed November 19, 1996. French patent application no. 96 14412 corresponds to U.S. Patent Application No. 08/968,598, now U.S. Patent No. 5,953,600. U.S. Patent Application No. 08/968,598 was filed on the same day as the present application and by the same applicant and incorporated by reference in the present application at page 1, lines 11-12. As shown in the patent family search attached to the search report, U.S. Patent No. 5,471,085 corresponds to document EP-A-0 646 952, U.S. Patent No. 5,192,992 corresponds to document GB-A-2 257 296, both cited in the French Search Report.

### PART III: Remarks

A copy of any document cited anywhere in the Information Disclosure Statement is enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

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By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

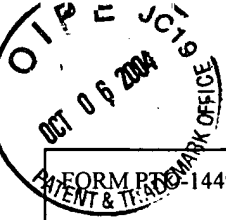
Respectfully submitted,

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Docket No. S1022.81048US01  
Date: October 4, 2004  
**XNDDX**



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			APPLICATION NO.: 10/781,329		ATTY. DOCKET NO.: S1022.81048US01		
			FILING DATE: February 18, 2004		CONFIRMATION NO.: 9448		
			APPLICANT: Yvon GRIS				
			GROUP ART UNIT: 2811		EXAMINER: Unassigned		
Sheet	1	of	2				

#### U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		5,488,003		Chambers et al.	01/30/96
		4,980,302		Shimizu	12/05/89
		5,478,760		Yang	03/27/95
		4,752,589		Schaber	06/21/88
		4,960,726		Lechaton, et al.	10/02/90
		5,015,594		Chu et al.	05/14/91
		5,045,484		Yamada, et al.	09/03/91
		5,100,815		Tsubone et al.	03/31/92
		5,192,992		Kim, et al.	03/09/93
		5,238,850		Matsunaga, et al.	08/24/93
		5,321,650		Kikuchi et al.	06/14/94
		5,416,031		Miwa	05/16/95
		5,429,959		Smayling	07/04/95
		5,471,085		Ishigaki, et al.	11/28/95
		5,753,957		Watabe	05/19/98
		5,953,600		Gris	09/14/99
		5,705,410		Guegan	01/06/98
		4,232,439		Shibata	11/11/80
		5,137,840		Desilents et al.	08/11/92
		5,773,349		Ham	06/30/98
		5,439,832		Nakamura	08/08/95
		5,008,207		Blouse et al.	04/16/91
		5,880,000		Gris	04/09/99
		5,512,497		Ikeda et al.	04/30/96
		4,276,543		Miller et al.	06/1981
		4,215,418		Muranatsu	07/1980
		5,017,990		Chen et al.	05/1991

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
		EP	0 495 329		International Business Machines Corp.	07/22/92	Y

**OTHER ART — NON PATENT LITERATURE DOCUMENTS**

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
		French Search Report from French patent application 96 14411, filed November 19, 1996		
		French Search Report from French Patent Application 96 14412, filed November 19, 1996		
		IBM Technical Disclosure Bulletin, vol. 33, no. 7, December 1, 1990, pages 401/402 XP000108500 "Simplified Bipolar in BI-CMOS		

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.